

# Artificial Neural Networks

Advanced Modeling and Control

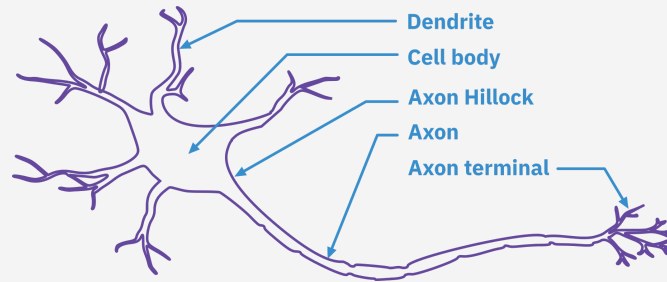
# Introduction

- Artificial Intelligence (AI): systems capable of performing tasks that typically require human intelligence
  - Typical tasks: learning from experience, understanding natural language, recognizing patterns, solving problems, and making decisions
  - Encompasses techniques like machine learning, neural networks, natural language processing, and robotics
- Machine Learning (ML): A subset of artificial intelligence that involves training algorithms to make predictions or decisions based on data
  - Regression, Artificial Neural Networks (ANN), decision trees, and clustering
- Deep Learning (DL): A specialized subset of ML focused on neural networks with many layers (“deep”)
  - Excels at processing unstructured data like images, text, and audio
- Artificial Neural Networks (ANN): A specific model within ML, inspired by the human brain’s structure
  - Consists of layers of interconnected “neurons.”
  - Basic building block used in both traditional ML and deep learning

# Introduction

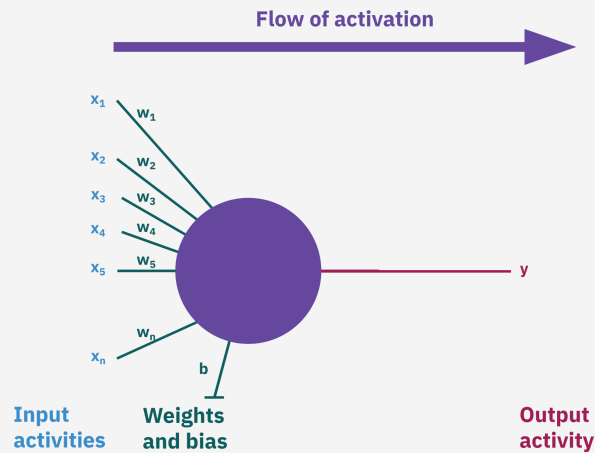
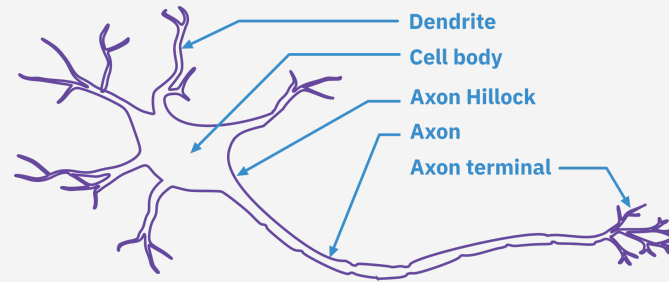
- ANNs are a class of machine learning models inspired by the human brain's structure and function. They consist of interconnected units called neurons, organized in layers
- Brains and computers operate fundamentally differently from each other
  - **Brains:** No CPU, lots of slightly smart memory cells
    - Recognizing faces, retrieving information based on partial descriptions, organizing information: The more info available, the better the brain operates
  - **Computers:** One very smart CPU, lots of extremely dumb memory cells
    - Arithmetic, deductive logic (e.g.,  $\frac{p \rightarrow q, p}{\therefore q}$ ), retrieving information based on arbitrary features
- The brain is composed of neurons
  - Neurons convey and transform information through electrical signals
  - Information in neurons is represented by “activation” (a scalar value)
- ANNs are constructed and implemented to model the human brain

# Biological Neuron



- Dendrite
  - Receives and integrates synaptic signals from other neurons
- Cell Body
  - Makes decisions based on integrated signals
- Axon
  - Passes signals to other neurons
  - Includes axon hillock (decision point) and axon collaterals (branches to nearby cells)
- Many neuron types exist.
- Interactions can be electrical or chemical.
- Different types of neuron connections (e.g., axodendritic, dendrodendritic).
- Key Characteristics of Neuronal Processing:
  - Slow signal propagation.
  - Large number of neurons ( $10^{10}$  –  $10^{11}$ ).
  - No central controller (CPU).
  - High connectivity ( $10^4$  connections per neuron).
  - Information conveyed by neuron firing rates (activation).

# ANN basics: modeling single neuron



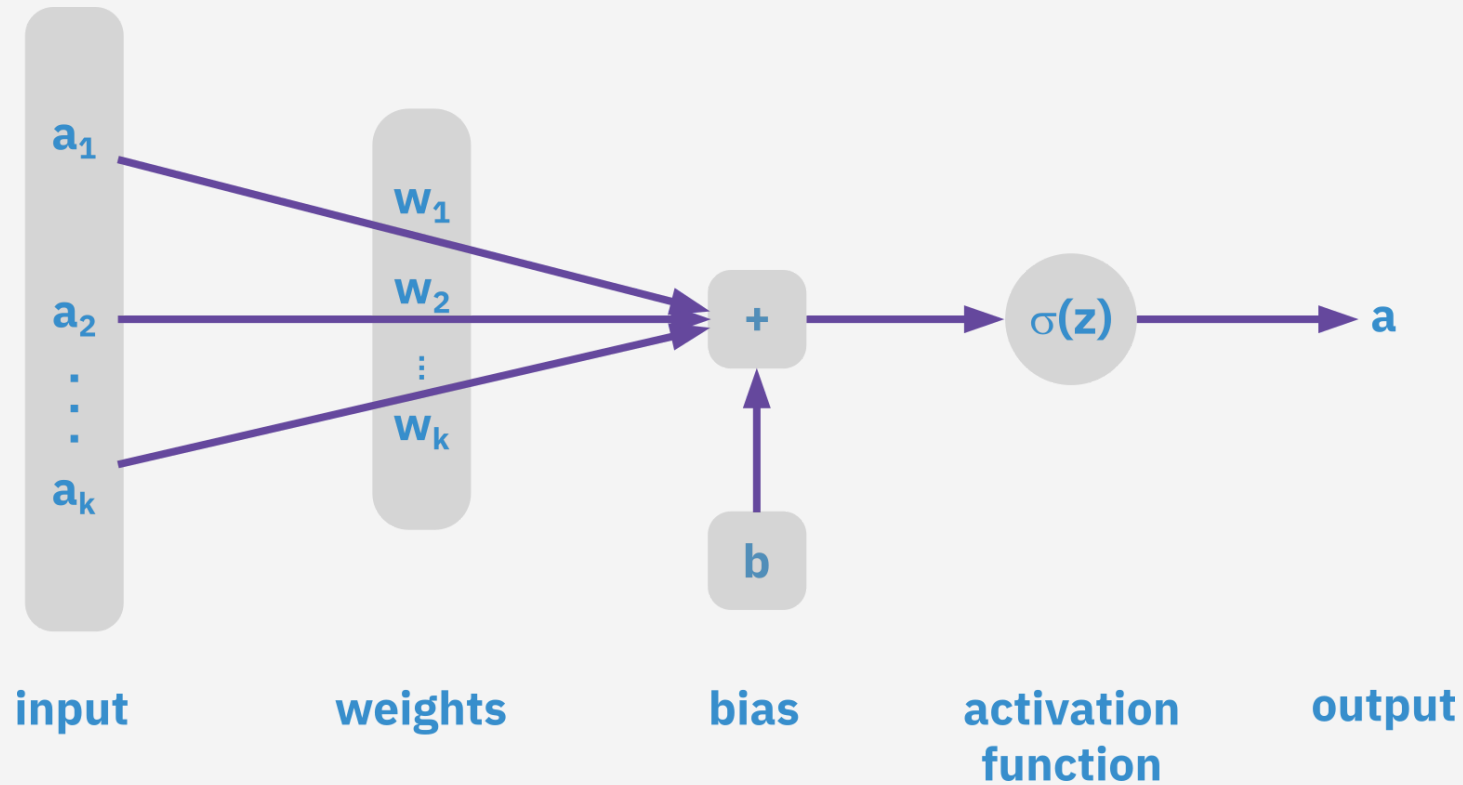
- Neurons receive multiple inputs.
- Inputs are modified by weights.
- Neurons sum weighted inputs.
- Neurons transmit output signals.
- Outputs connect to other neurons.
- Local Processing: Information is processed locally within the neuron.
- Distributed Memory: Short-term = signals; Long-term = weights.
- Learning: Weights adjust through experience.
- Flexibility: Neurons can generalize and are fault-tolerant.



## Universal Approximation Theorem

Any continuous function  $h(x)$  can be approximated by an ANN with one hidden layer, given appropriate non-linearity and sufficient neurons. Thus ANNs can model any complex, continuous function.

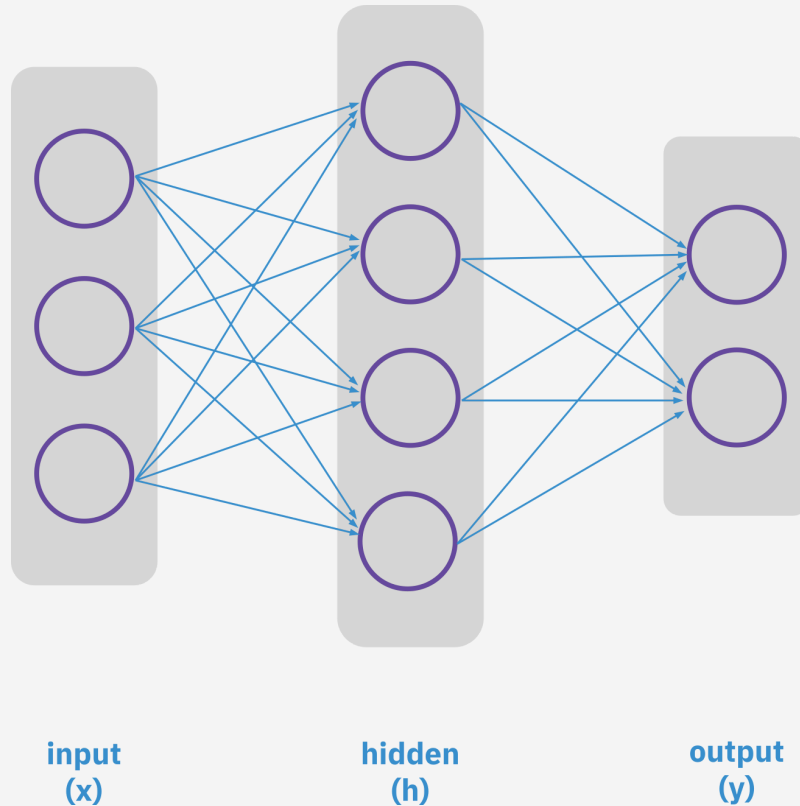
# Elements of neural networks



- ANNs consist of hidden layers with neurons (i.e., computational units)
- A single neuron maps a set of inputs into an output number, or  $f : R^K \rightarrow R$

$$z = a_1 w_1 + a_2 w_2 + \dots + a_k w_k + b; \quad a = \sigma(z)$$

# Elements of neural networks



ANN with one hidden layer and one output layer

- Hidden layer

$$h = \sigma(w_1x + b_1)$$

- Output layer

$$y = \sigma(w_2h + b_2)$$

- Neurons: 6
  - Hidden layer: 4
  - output layer: 2
- Weights: 20
  - Hidden layer: 3 x 4
  - Output layer: 4 x 2
- Biases: 6
  - Hidden layer: 4
  - Output layer: 2
- Total 26 learning parameters

# Activation function

- Mathematical function in neural networks determining the output of a neuron.
- Introduces non-linearity to model complex patterns.
- Without it, neural networks would only perform linear transformations.
- Common Activation Functions
  - Sigmoid: Outputs: 0 to 1, used in binary classification.

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

- ReLU (Rectified Linear Unit): Outputs: Input if positive; otherwise 0. Common in deep networks.

$$f(x) = \max(0, x)$$

- Tanh (Hyperbolic Tangent): Outputs: -1 to 1, centers data around zero.

$$\tanh(x) = \frac{2}{1 + e^{-2x}} - 1$$



# Important terminologies of ANNs

- Weights
  - Parameters that transform input data in the network; each connection has an associated weight.
  - Weights determine the influence of each input on the output; higher weights mean stronger influence.
- Bias
  - An additional parameter that shifts the activation function, helping the model fit the data better.
  - Similar to the y-intercept in a linear equation, it allows the function to adjust left or right.
- Threshold
  - The value at which a neuron's activation function decides whether to fire (produce an output).
  - In binary threshold functions, if the weighted sum exceeds the threshold, the neuron activates (outputs 1); otherwise, it doesn't (outputs 0).

# Important terminologies of ANNs

- Learning Rate
  - Controls how much weights are adjusted in response to errors during training.
  - Determines step size in gradient descent; smaller values mean more precise adjustments but slower convergence.
- Momentum Factor
  - Added to the weight update formula to accelerate gradient descent and reduce oscillations.
  - Incorporates previous updates to smooth the optimization path, speeding up convergence and avoiding local minima.
- Loss function
  - Measures the difference between predictions and actual values.
  - Training aims to minimize this loss for better accuracy. Common types: Mean Squared Error (MSE) for regression, Cross-Entropy Loss for classification.

# Training an ANN: Forward and Backpropagation

- Training
  - The model learns the relationship between input and output data (supervisory learning)
  - Weights and biases are fine-tuned during training. The goal is to minimize the error between predicted and actual outputs.
- Forward Propagation

Passing input data through the network to produce an output, utilizing weights, biases, and activation functions.
- Backpropagation

The process of refining the network by adjusting weights and biases based on the error, enabling the model to learn and improve over time (iterations).
- Adjusting weights and biases

Move in the negative direction of the error (cost) function's slope until a minimum error value is reached

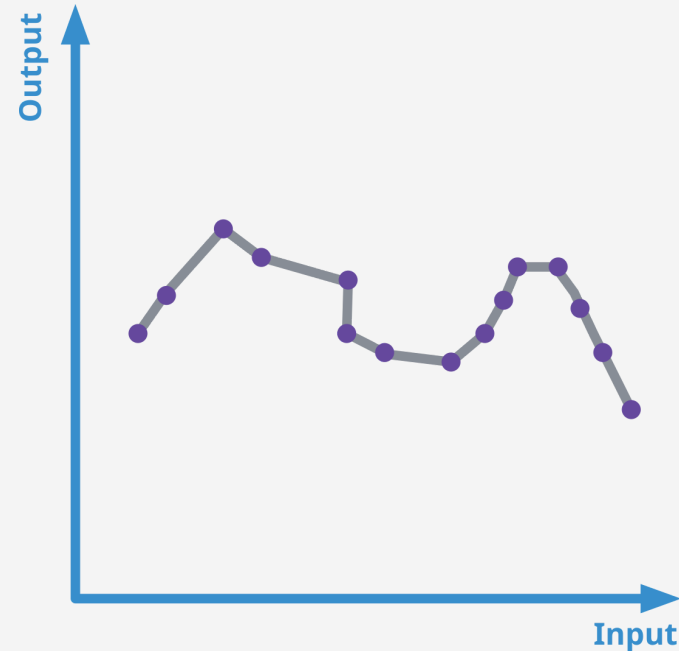
⇒ Gradient descent

# Gradient Descent

- Optimization algorithm used to minimize the cost function in machine learning
- Cost function (loss function or objective function)
  - Measures the difference between the predicted outputs and the actual target values.
  - Mean Squared Error (MSE): Common for regression tasks
  - Cross-Entropy Loss: Often used in classification tasks, it measures the difference between two probability distributions – the predicted probabilities and the actual labels
- Calculate the gradient (partial derivatives) of the cost function with respect to each parameter
- Adjust the parameters in the opposite direction of the gradient to decrease the cost function. Iterate until the cost function reaches a minimum
- Variants
  - Stochastic Gradient Descent (SGD): Uses a random subset of data per iteration to speed up computation.
  - Adaptive Gradient Descent: Adjusts step size for different data components (useful for text, image data).
  - Momentum Gradient Descent: Uses previous gradients to build momentum, accelerating convergence.

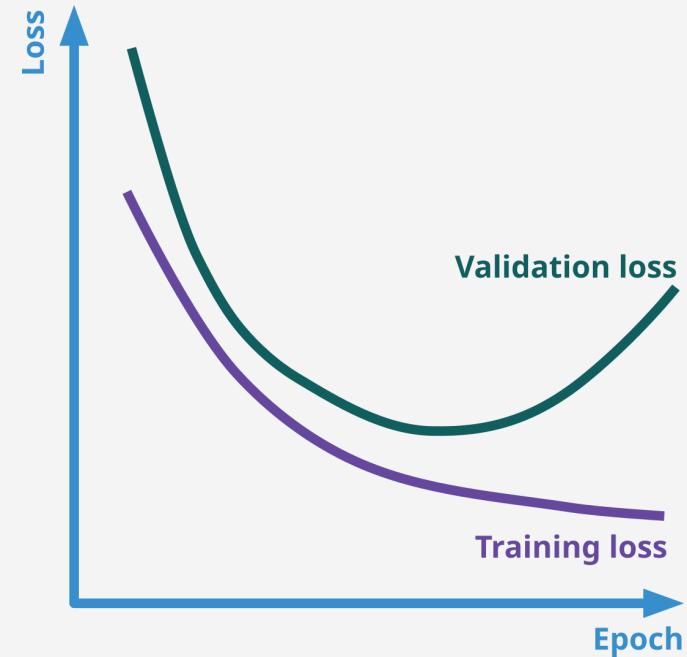
# Overfitting

- Overfitting occurs when a machine learning model learns not only the underlying patterns in the training data but also the noise and random fluctuations.
  - The model fits the training data too closely, capturing even the minor details and noise.
  - The model performs very well on the training data but poorly on new, unseen data
- 
- Causes
    - Too Complex Model: Using a model with too many parameters or features relative to the amount of training data.
    - Insufficient Training Data: When there isn't enough data to represent the true underlying patterns, the model may learn noise as if it were a pattern.
    - Too Long Training: Training the model for too many iterations, allowing it to adjust to even the smallest noise in the data.



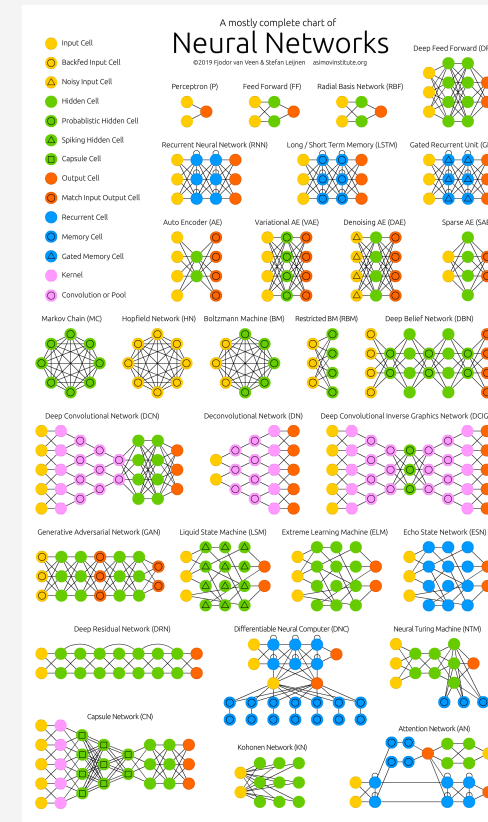
# Overfitting mitigation strategies

- Simplifying the Model: Reducing the number of features or parameters in the model.
- Cross-Validation: ensure the model generalizes well to unseen data.
  - k-fold:
    - divide the data into k subset
    - Train the model k times. Each time one subset is used for validation and the rest are used for training
    - Average the results
- Regularization: Adding a penalty to the cost function for large coefficients
  - L1: Helps the model focus on the most important features by setting some weights to zero.
  - L2: Keeps all features but reduces the impact of any single feature by making all weights smaller.
- Early Stopping: Halting the training process when performance on a validation set starts to degrade, even if the training performance is still improving.



# Network Architectures

- Perceptron & Feed-Forward Networks (FFN)**  
 Basic prediction tasks, such as property estimation, reaction rate predictions, and process optimization.
- Recurrent Neural Networks (RNN) & Long Short-Term Memory (LSTM)**  
 Modeling dynamic systems, time-series data, and sequential processes, such as reactor dynamics and control systems.
- Convolutional Neural Networks (CNN)**  
 Primarily in image analysis related to process monitoring (e.g., analyzing images from reactors, identifying patterns in images of materials).
- Autoencoders & Variational Autoencoders (VAE)**  
 Dimensionality reduction, feature extraction, fault detection, and process monitoring.
- Generative Adversarial Networks (GAN)**  
 Generating synthetic data for simulations, improving the robustness of models, and process optimization.



# Summary

- ANNs are powerful tools for modeling complex, non-linear relationships in data.
- ANNs are applied to a wide range of chemical engineering problems, from static predictions to dynamic process control.
- Key Concepts:
  - Activation Functions: Introduce non-linearity to capture complex patterns (e.g., Sigmoid, ReLU, Tanh).
  - Training: Involves forward propagation (prediction) and backpropagation (learning from errors).
  - Optimization with Gradient Descent: Minimizes the error between predictions and actual values through iterative updates.
- Overfitting can be prevented by simplifying the model, using cross-validation, applying regularization, and early stopping to prevent overtraining
- Several network architectures exist
  - ⇒ Successfully designing, training, and deploying ANNs often requires deep domain knowledge and experience in machine learning.